

BANK OF CHINA MACAU BRANCH
Fees Schedule for A-Share Northbound Trading (Shenzhen-Hong Kong Stock Connect)

	Items	Charges		Minimum		Maximum		Particular
				MOP	Equivalent RMB	MOP	Equivalent RMB	
Brokerage & Charges in Mainland institution	Brokerage Commission	By Customer Service Officer of BOC Service Hotline	0.25%	162.50	125.00	-	-	1. Applicable to both buying and selling orders based on transaction amount. 2. Trading of A-shares will be settled in RMB.
		Via BOCNET (Personal)	0.20%	130.00	100.00	-	-	
	Handling Fee	0.00487% of the consideration of a transaction per side		-	-	-	-	1. Payable to SSE/SZSE. Applicable to both buying and selling orders. 2. Trading of A-shares will be settled in RMB.
	Securities Management Fee	0.002% of the consideration of a transaction per side		-	-	-	-	1. Payable to CSRC. Applicable to both buying and selling orders. 2. Trading of A-shares will be settled in RMB.
	Transfer Fee	0.004% of the consideration of a transaction per side		-	-	-	-	1. Applicable to both buying and selling orders based on transaction amount. 2. Trading of A-shares will be settled in RMB. 3. Transfer fee will be included with two parts: 0.002% of the consideration of each transaction per side to be charged under China Clear's Shanghai-Hong Kong Stock Connect and Shenzhen-Hong Kong Stock Connect Rules. 0.002% of the consideration of each transaction per side to be charged under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing Service by HKSCC.
	Stamp Duty	0.1% of the consideration of a transaction on the seller		-	-	-	-	1. Payable to SAT. Applicable to selling order. 2. Trading of A-shares will be settled in RMB.
Bank Charges	Handling charge for buying order	MOP26.00/deed equivalent to RMB20.00/deed		-	-	-	-	Trading of A-shares will be settled in RMB.
	Custody fee	MOP2.60/lot equivalent to RMB 2.00/lot		39.00	30.00			Applicable to all shares deposited to our bank, including bonus share, share option, public subscription, placement, option, or other shares.
	Safekeeping fee	MOP0.21/lot equivalent to HKD0.20/lot		MOP 61.89	HKD 60.00	MOP 2578.75	HKD 2500.00	1. Calculated on aggregate month-end stock quantity balances in stock account under custody in May and November. 2. Calculation is based on the entire portfolio and settled in HKD.
	Dividend Collection Fee	1% of dividend		26.00	20.00			Applicable to Cash Dividends.
	Price Quota Service Fee	MOP0.10315/enquiry equivalent to HKD0.10/enquiry		-	-	-	-	For each excess price quote enquiry
Charge of Custody	Shares Transfer from otherbrokers	Free		-	-	-	-	-
	Handling charge for ShareTransfer to other brokers	0.05% on total Market Value +MOP130.00 Equivalent to RMB 100.00		-	-	-	-	On per stock basis.
Tax	Other taxes	Tax payable according to tax rate set by local taxation office.		-	-	-	-	-

Notes:

- 1 The currency and units of all fees and charges will be the same as that of the settlement account. Pursuant to the related ordinance in Macau, the exchange rate of MOP/HKD is 103.15, subject to market changes. Since the exchange rate of RMB changes frequently, the prevailing market rate will be used for each transaction. And the reference exchange rate for MOP/RMB shown above is 130.00.

Remark

- 1 SSE (Shanghai Stock Exchange), SZSE(Shenzhen Stock Exchange), CSRC (China Securities Regulatory Commission), SAT(State Administration of Taxation)
- 2 All fees and charges are subject to change without prior notice.

Effective Date: June 25, 2017